Attorney Docket No. 11610US02

# THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION OF:

CHARLES STONE and ALFRED E. STECK

SERIAL NO. 09/503,760

FILED: February 14, 2000

GRAFT POLYMERIC MEMBRANES FOR:

AND ION-EXCHANNGE MEMBRANES

FORMED THEREFROM

Group Art Unit 1713

Examiner F. Zitomer

W/8 RECEIVED TO 1/00

# AMENDMENT AND PETITION TO ADD INVENTOR NOT ORIGINALLY NAMED IN NONPROVISIONAL APPLICATION ORIGINALLY FILED

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. § 1.48(a), applicants submit this Amendment and Petition to correct the original naming of inventors in the declaration submitted in connection with the above application on or about May 9, 2000.

Please add the following previously unnamed person as a named inventor for the present application:

## Biswajit Choudhury

Residence Address: 1401-9835 King George Hwy, Surrey, British Columbia, Canada, V3T 5H6

Citizenship: Canadian.

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**ATTACHMENTS** 

Attached hereto are:

- (a) a statement from the person being added as an inventor that the error in inventorship occurred without deceptive intention on his or her part (37 C.F.R. §1.48(a)(1)).
- (b) a declaration by each of the actual inventors as required by 37 C.F.R. § 1.63 (37 C.F.R. § 1.48(a)(2)).
- (c) a written assent of the assignee, Ballard Power Systems Inc. ("BPS"), as well as copies of the underlying Assignments from the newly-named inventor, Biswajit Choudhury, to Ballard Advanced Materials Corporation ("BAM") and from BAM to BPS, each of which is being submitted concurrently herewith for recordation in the USPTO.

\* \* \* \* \*

In view of the foregoing and the attachments hereto, applicants request correction of the original naming of inventors in accordance with the attached declaration. The Examiner is invited to telephone the applicants' undersigned attorney at (312) 775-8123 if any unresolved matters remain.

A \$130.00 check accompanies this submission to cover the petition fee. Please charge any additional fees, or credit any overpayment, incurred in connection with this submission to Deposit Account No. 13-0017.

Respectfully submitted,

Robert W. Fieseler Registration No. 31,826

Attorney for Applicants

Michael B. Harlin Registration No. 43,658 McANDREWS, HELD & MALLOY, LTD. 500 West Madison Street, 34th Floor Chicago, Illinois 60661

Telephone (312) 775-8000 Facsimile (312) 775-8100

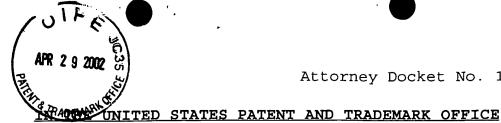
I hereby couldby that this characteristication acing deposited with the United States Postal Barvica as first class mail in an envelope addressed to: Commissioner of Patents and Trademorks, Washington, D. C. 20231, 011 4-18-02 Date of Deposit

ROBERT W. FIESELER

Name of applicant, assignee, or

Registered Representative

Signature 4-18-02 Rate of Stansfulls



PATENT APPLICATION OF: Group Art Unit 1713 CHARLES STONE and ALFRED E. STECK Examiner F. Zitomer SERIAL NO. 09/503,760 FILED: February 14, 2000 GRAFT POLYMERIC MEMBRANES FOR:

# STATEMENT OF NON-DECEPTIVE INTENT BY PERSON BEING ADDED BY PETITION TO ADD INVENTOR NOT ORIGINALLY NAMED IN NONPROVISIONAL APPLICATION

Assistant Commissioner for Patents Washington, D.C. 20231

FORMED THEREFROM

AND ION-EXCHANNGE MEMBRANES )

#### Sir:

I, the person who is being added as an inventor by the petition being submitted to correct the inventorship of the above application, do hereby declare that the inventorship error in failing to include my name as an inventor on the present application occurred without any deceptive intention on my part.



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT	APPLICATION OF:	)	
		)	
CHARLES	S STONE and	)	Group Art Unit 1713
ALFRED	E. STECK	)	
		)	Examiner F. Zitomer
SERIAL	NO. 09/503,760	)	
		)	
FILED:	February 14, 2000	)	
		)	<b>?</b> ^
FOR:	GRAFT POLYMERIC MEMBRANES	)	· · · · · · · · · · · · · · · · · · ·
	AND ION-EXCHANNGE MEMBRANES	)	MAY
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#### ASSENT OF ASSIGNEE TO ADD INVENTOR

Assistant Commissioner for Patents Washington, D.C. 20231

## Sir:

Ballard Power Systems Inc., the assignee of the above application by virtue of:

- , (1) an Assignment from Ballard Advanced Materials

  Corporation, which was executed on March 15, 2000, and recorded in the U.S. Patent and Trademark Office on May 12, 2000 at Reel 10808, Frame 0909,
  - (2) an Assignment from the original named inventors,

    Charles Stone and Alfred E. Steck, to Ballard Managed

    Materials Corporation, which was executed on March 1

2000 and March 15, 2000, respectively, and recorded in the U.S. Patent and Trademark Office on May 12, 2000 at Reel 10808, Frame 0914,

- (3) an Assignment from Ballard Advanced Materials

  Corporation, which was executed on <u>Sept. 5</u>, 2001

  (copy enclosed herewith), and is being submitted for recordation in the U.S. Patent and Trademark Office concurrently herewith,
- (4) an Assignment from the added inventor, Biswajit

  Choudhury, to Ballard Advanced Materials Corporation,

  which was executed on Accest 27TH., 2001 and March 15,

  2000, respectively, and is being submitted for

  recordation in the U.S. Patent and Trademark Office

  concurrently herewith,

hereby assents to the amendment to add inventor filed herewith.

BALLARD POWER SYSTEMS INC.

By:

Printed Name: Noordin S.K. Nanji

Title: Vice-President, Strategic Development

Date: Siptember 4 2001

By: Jail Milh
Printed Name: <u>David P. Wilkinson</u>
Title: <u>Vice President, Research &amp; Development</u>
Date: August 29, 2001.

.

#### ASSIGNMENT

Joint

File No. 11610US02 Application Serial No.09/503,760

Filing Date: February 14, 2000 Inventor: Biswajit Choudhury

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, each of the undersigned hereby assign to Ballard Advanced Materials Corporation, a corporation under the laws of the Province of British Columbia, Canada, its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled: GRAFT POLYMERIC MEMBRANES AND ION-EXCHANGE MEMBRANES FORMED THEREFROM; and identified as File No. 11610US02 in the offices of McANDREWS, HELD & MALLOY, LTD., and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assign to said assignee the priority right provided by the International Convention.

Each of the undersigned hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

Each of the undersigned hereby authorize and request the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known.

Each of the undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations each of the undersigned hereby agree, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or

improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other document for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations each of the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

signed at Burnaby, Province of British Columbia, Canada this \_\_\_\_\_\_\_, 2001.

diswayt Chondling
Biswajit Choudhury

I hereby certify that before me personally appeared Biswajit Choudhury to me known and known to me to be the individual described in the foregoing instrument, and that in my presence executed this instrument and who by me having been sworn did acknowledge that he executed the instrument as his free act and deed on the day and year aforesaid.

SEAL:

Notary Fublic

Attorney Docket No. 11610US02

#### ASSIGNMENT

Whereas, Ballard Advanced Materials Corporation, a corporation under the laws of the Province of British Columbia, Canada, and having a place of business at 9000 Glenlyon Parkway, Burnaby, British Columbia, Canada V5J 5J9 is the owner of the entire right, title and interest, by virtue of an Assignment, in

# GRAFT POLYMERIC MEMBRANES AND ION-EXCHANGE MEMBRANES FORMED THEREFROM

as described and set forth in U.S. Patent Application Serial No. 09/503,760 filed on February 14, 2000, naming Charles Stone, Alfred E. Steck and Biswajit Choudhury as the inventors, preparatory to obtaining Letters Patent of the United States therefor; and

Whereas, Ballard Power Systems Inc., a corporation under the Canadian Business Corporations Act, and having a place of business at 9000 Glenlyon Parkway, Burnaby, British Columbia, Canada V5J 5J9, is desirous of acquiring for itself, and for its successors and assigns, the entire right, title and interest in and to said invention and improvements and any Letters Patent which may be granted therefor or thereupon, together with any and all divisions, continuations, reissues and extensions thereof:

Now, therefore, to all whom it may concern, be it known that, for and in consideration of One Dollar (\$1.00) and other good and valuable consideration, the receipt and sufficiency of which are hereby acknowledged, the said Ballard Advanced Materials

Corporation hereby sells, assigns, transfers and sets over unto the said Ballard Power Systems Inc., its successors and assigns, the entire right, title and interest in and to the inventions and improvements set forth and described in said application and any Letters Patent which may be granted therefor or thereupon, together with any and all divisions, continuations, reissues and extensions thereof, reexamination certificates based thereon, any and all its foreign patents based thereon, and all its rights under the International Convention in respect thereto. And

Ballard Advanced Materials Corporation hereby agrees to communicate to said Ballard Power Systems Inc., its successors and assigns or their representatives, facts known to it respecting said

United States patent; to testify in any legal proceedings relating thereto; to sign all lawful papers relating thereto; to deliver to Ballard Power Systems Inc. the original of said United States patent if it has possession or control thereof; and generally to use reasonable efforts to aid said Ballard Power Systems Inc., its successors and assigns, in obtaining and enforcing the protection granted by said United States patent and any foreign patents based thereon.

IN WITNESS WHEREOF, the said Ballard Advanced Materials

Corporation has caused this Assignment to be executed on its

behalf, this 5th day of September, 2001.

BALLARD ADVANCED MATERIALS CORPORATION

By:		
_ 2		

Printed Name: Noordin S.K. Nanji

Title: Corporate Secretary

Date: September 5,2001

On this 5th day of September, 2001, before me, a Notary Public, personally came Noordin S.K. Nanji, to me known, who being by me duly sworn did depose and say that he is the Corporate Secretary of BALLARD ADVANCED MATERIALS CORPORATION, the corporation described in and which executed the foregoing instrument; and that he has authority to and did execute the

foregoing instrument in his above-indicated capacity, and that he signed his name pursuant thereto.

(Seal) Notary Public

IN WITNESS WHEREOF, the said Ballard Advanced Materials Corporation has caused this Assignment to be executed on its behalf, this 5th day of September, 2001.

BALLARD ADVANCED MATERIALS CORPORATION

By:

Printed Name: David S. Smith

Title: Vice President, Controller

Date: September 5, 2001

On this 5<sup>1</sup> day of September, 2001, before me, a Notary Public, personally came David S. Smith, to me known, who being by me duly sworn did depose and say that he is the Vice President.

Controller of BALLARD ADVANCED MATERIALS CORPORATION, the

corporation described in and which executed the foregoing instrument; and that he has authority to and did execute the foregoing instrument in his above-indicated capacity, and that he signed his name pursuant thereto.

(Seal)

Notary Publ



### DECLARATION AND POWER OF ATTORNEY

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# GRAFT POLYMERIC MEMBRANES AND ION-EXCHANGE MEMBRANES FORMED THEREFROM

the specification of which was filed in the U.S. Patent and Trademark Office on February 14, 2000, and assigned Serial Office No. 2007, 760.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, section 119(a)-(d) or section 365(b) of any foreign application(s) for patent or inventor's certificate, or section 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application(s) for patent or inventor's certificate or any PCT international application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application on which priority is claimed:

#### NONE.

I hereby claim the benefit under Title 35, United States Code, section 119(e) of any United States provisional application(s) listed below:

#### NONE.

I hereby claim the benefit under Title 35, United States Code, section 120 of any United States application(s), or section 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in such prior application(s) in the manner provided by the first paragraph of Title 35, United States Code, section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, section 1.56(a) which became available between the filing date of the prior application(s) and the national or PCT international filing date of this application:

# U.S. Patent Application Serial No. 08/967,960 filed November 12, 1997.

### I hereby appoint:

George P. McAndrews	Reg.	No.	22,760
John J. Held	Reg.	No.	21,061
Timothy J. Malloy	Reg.	No.	25,600
William M. Wesley	Reg.	No.	26,521
Lawrence M. Jarvis	Reg.	No.	27,341
Gregory J. Vogler	Reg.	No.	31,313
Jean Dudek Kuelper	Reg.	No.	30,171
Herbert D. Hart III	Reg.	No.	30,063
Robert W. Fieseler	Reg.	No.	31,826
D. David Hill	Reg.	No.	35,543
Thomas J. Wimbiscus	Reg.	No.	36,059
Steven J. Hampton	Reg.	No.	33,707
Priscilla F. Gallagher	Reg.	No.	32,223
Stephen F. Sherry	Reg.	No.	30,590
Patrick J. Arnold Jr.	Reg.	No.	37,769
George Wheeler	Reg.	No.	28,766
Janet M. McNicholas	Reg.	No.	32,918
Ronald E. Larson	Reg.	No.	24,478
Christopher C. Winslade	Reg.	No.	36,308
Edward A. Mas II	Reg.	No.	37,179
Gregory C. Schodde	Reg.	No.	36,668
Edward W. Remus	Reg.	No.	25,703
Donald J. Pochopien	Reg.	No.	32,167
Sharon A. Hwang	Reg.	No.	39,717
David D. Headrick	Reg.	No.	40,642
Dean D. Small	Reg.	No.	34,730
Alejandro Menchaca	Reg.	No.	34,389
Kirk A. Vander Leest	Reg.	No.	34,036

Richard T. McCaulley, Jr. Reg. No. 41,977 Anthony E. Dowell Req. No. 39,661 Peter J. McAndrews Req. No. 38,547 Jonathan R. Sick Reg. No. 43,920 Eligio C. Pimentel Reg. No. 42,076 Reg. No. 40,741 James P. Murphy Dean A. Pelletier Reg. No. 45,007 Michael B. Harlin Reg. No. 43,658 James R. Nuttall Req. No. 44,978 Jeffrey D. Wheeler Req. No. 39,066 Timothy L. Harney Req. No. 38,174 Joseph M. Barich Req. No: 42,291 Scott P. McBride Req. No. 42,853 Patricia J. McGrath Req. No. 44,919 Sandra A. Frantzen Reg. No. P-48,799 Christopher V. Carani Req. No. 44,049 Jennifer E. Lacroix Reg. No. 46,852 Joseph F. Harding Req. No. P-48,450 Joseph M. Butscher Req. No. P-48,326 Stephen M. Miller Reg. No. 40,728 Troy A. Groetken Reg. No. 46,442 Michael J. Fitzpatrick Reg. No. P-48,510

the address and telephone number of each of whom is McAndrews, Held & Malloy, Ltd., 500 West Madison Street, 34th Floor, Chicago, Illinois 60661, 312/707-8889, as our attorneys with full power of substitution and revocation to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Please direct telephone calls to Robert W. Fieseler at (312) 707-8889. Please direct facsimiles to Robert W. Fieseler at (312) 707-9155.

Please address correspondence to:

Robert W. Fieseler McAndrews, Held & Malloy, Ltd. 500 West Madison Street, Suite 3400 Chicago, Illinois 60661

I hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false

statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first	inventor Charles Stone
Inventor's signatur	e
Residence Address	4948 Edendale Lane . West Vancouver, BC Canada V7W 3H7
Citizenship	Canadian
Post Office Address	Ballard Power Systems Inc. 9000 Glenlyon Parkway Burnaby, B.C. Canada V5J 5J9
Full name of second	inventor Alfred E. Steck
Inventor's signature	Date 766. 13, 2002
Residence Address	3956 Westridge Avenue West Vancouver, BC Canada V7V 3H7
Citizenship	Canadian
Post Office Address	3956 Westridge Avenue West Vancouver, BC Canada V7V 3H7
Full name of second	inventor <u>Biswajit Choudhury</u>
Inventor's signature	e dismont Chandling Date Aug. 27, 2001
Residence Address	1401 - 9835 King George Highway Surrey, BC Canada, V3T 5H6
Citizenship	Canadian
Post Office Address	Same as first joint inventor